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Emergency Response Information System Interoperability: Development of Chemical Incident Response Data Model *

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Abstract

Emergency response requires an efficient information supply chain for the smooth operations of intra- and inter-organizational emergency management processes. However, the breakdown of this information supply chain due to the lack of consistent data standards presents a significant problem. In this paper, we adopt a theory-driven novel approach to develop an XML-based data model that prescribes a comprehensive set of data standards (semantics and internal structures) for emergency management to better address the challenges of information interoperability. Actual documents currently being used in mitigating chemical emergencies from a large number of incidents are used in the analysis stage. The data model development is guided by Activity Theory and is validated through a RFC-like process used in standards development. This paper applies the standards to the real case of a chemical incident scenario. Further, it complies with the national leading initiatives in emergency standards (National Information Exchange Model).

Keywords: Emergency Response, Activity Theory, Data Model, Interoperability, Standards, XML

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1. Introduction

The 9/11 commission reports (Kean 2004) as well as analyses of Hurricane Katrina (Townsend 2006) explicate how inadequate emergency response management is a major factor contributing to the lack of an effective response. Among the factors accountable for the observed inadequacy, the response information supply chain (ISC) that connects the response operations and stakeholders has been recognized for its critical role in supporting an effective response during critical incidents (Aylward et al. 2006; DHS et al. 2006; Frale 2005; Harrison et al. 2006; Weinschel 2006). While an efficient ISC demands smooth and seamless interoperability, the reality is that there are no standards that cater to specific types of incidents such as fire or chemical incidents. The efforts of bodies such as the Department of Homeland Security, Department of Justice, and Organization for the Advancement of Structured Information Standards (OASIS) have focused primarily on standards of a more general nature dealing with emergency management issues (e.g., call alert). These have been top-down impositions of standards. However, incidents of specific types and day-to-day operations are handled mostly at local levels (Chen et al. 2005; Chen et al. 2007a). They are typically governed by local regulations and practices and are managed through collaboration with first and second responder communities from neighboring counties and towns (Bui et al. 2001; Chen et al. 2007b; Kim et al. 2007). Therefore, there is a need to adopt a more comprehensive requirements gathering approach that takes into account the social aspects, contradictions, governance rules, division of labor, etc. This paper adopts a novel approach by adapting Activity Theory to provide a framework to develop emergency data standards. Activity Theory prompts consideration of issues and concerns such as communities and sub-communities and the contradictions that are not part of traditional approaches. We provide a more detailed discussion on the approach and the benefits of this approach later in the paper.

The emergency management ISC connects the network of incident reporting sources, scanning agents, interpretation agents, and response agents, and it balances information supply and demand (Sun et al. 2005). Along the information chain, task-critical information that focuses on situational awareness and a common operating picture is exchanged to enable informed decision making and to generate synergy (See Figure 1) (Porter 1985).

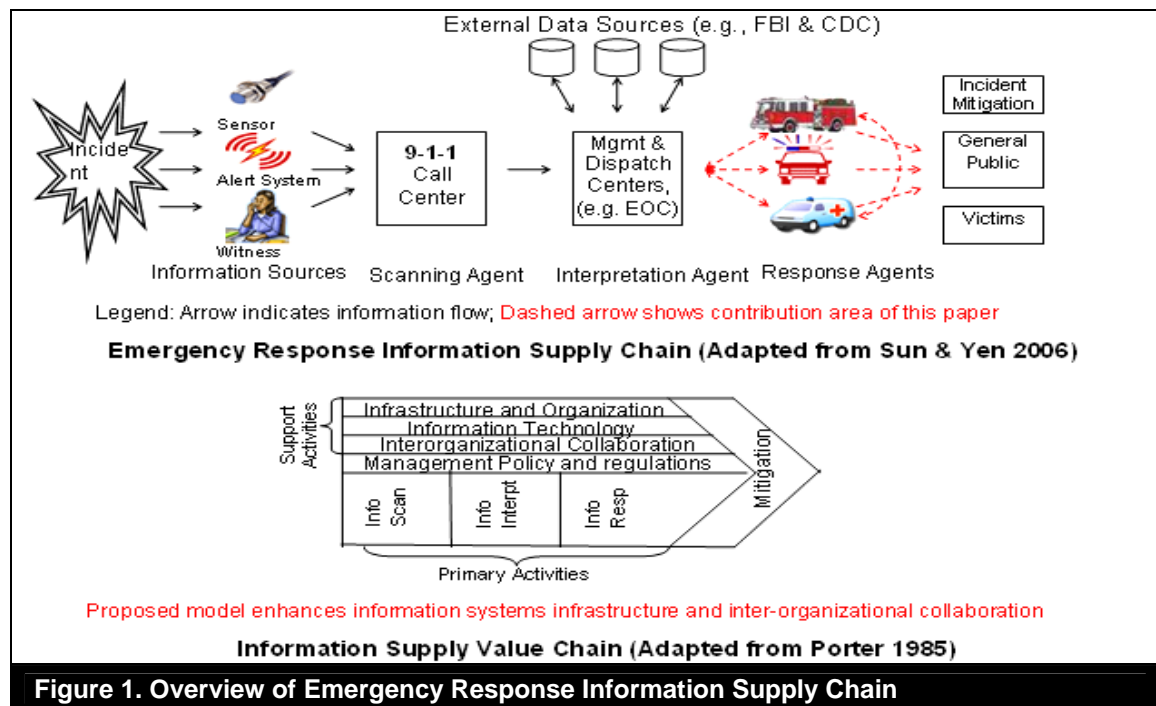


Figure 1. Overview of Emergency Response Information Supply Chain

An effective information supply chain dictates the necessity to address the challenges of interoperability, which is defined as “the ability of two or more entities or systems to exchange information and to use the information that has been exchanged” (DHS 2005; IEEE 1990). The issues are more pronounced because the technologies adopted by participating agencies to support the mitigation of a critical incident are, in general, incompatible for reasons ranging from the ability of local agencies to fund technology to the lack of unified guidelines for software and hardware (BJA-DOJ 2007; Fedorowicz et al. 2007; Gogan et al. 2005; Williams et al. 2005). Literature in this area provides testimony that interoperability issues stem in part from the data level (COMCARE 2002a; DHS et al. 2006; EIC 2004; NIEM 2006; Stegwee et al. 2003). Data-level support is key to ensure a common semantic understanding among participating organizations and to provide data transmission that follows consistent protocols (Chakravarti et al. 2006; Jump et al. 2003; Vinze et al. 2001). In this paper we use a theory-guided approach to develop data standards for chemical emergency scenarios based on interviews with first responders and their mutually agreed upon input (In Figure 1 we highlight the area of our contribution to the information supply chain using dotted lines). It may be noted that the other facets of interoperability (i.e., hardware, middleware, application layer compatibilities) also limit the effectiveness of emergency information sharing, and the provision of possible solutions to counter this ineffectiveness requires additional research efforts that are beyond the scope of the current study.

A number of emergency data standards have been developed to address the issues of interoperability when data is passed between applications and devices (see Table 1). However, none of these standards has been designed to support the specific incident types that involve the incident command structure (DHS 2004b). To elaborate on this issue further, in Figure 2.a we present a snapshot of one response document that exemplifies some of the information that may be exchanged during a typical chemical incident. We use this document to illustrate the point that the leading national standards for emergency management, such as the National Information Exchange Model (NIEM) (DHS et al. 2006), do not currently support many of the elements needed for incident management (See Figure 2.b). Consequently, task-critical information that flows through these disparate systems is inconsistent and includes incompatible definitions, formats, and structures. The lack of data interoperability, therefore, limits the effectiveness of information systems and the collaborative emergency management they support.

***** DISCHARGE RATE/DURATION ESTIMATES	Data Element	NIEM Support
All contents assumed by user to discharge within 1.0 minute	Duration of Charge	No Match
Duration of discharge = 1 minutes	Amount Discharged	No Match
Amount discharged = 150000 lbs	State of Material	No Match
State of material = Mix of gas/aerosol	Max Fireball Diameter	No Match
***** FIREBALL HAZARD RESULTS	Maximum Fireball Height	No Match
Max fireball diameter = 847 feet	Fireball Duration	No Match
Maximum fireball height = 1392 feet	Fatality Zone Radius	No Match
Fireball duration = 16.4 seconds	Injury Zone Radius	No Match
Fatality zone radius = 1172 feet		
Injury zone radius = 2227 feet		

Figure 2a. Example of Emergency Management Document

Figure 2b. Availability of NIEM Support for the Example Document

In order to develop standards that can make collaboration and communication more effective across different platforms (Lyytinen et al. 2006; Vinze et al. 2001; Zhu et al. 2006), we elicit and analyze requirements guided by the Activity Theory framework (Bertelsen et al. 2003; Engstrom 1999). Activity Theory is not a predictive theory but a descriptive one (Kuutti 1991). It provides a framework in which the critical issues of context can be taken into account for system design (Bertelsen et al. 2003; Chaudhury et al. 2001; Uden et al. 2007). In this paper, we develop an XML-based response data model that defines consistent data semantics and internal structures using documents generated from actual chemical incidents. The XML response data model provides an effective vendor-neutral standard to stitch together disparate systems. In this article we focus on chemical incident responses because chemical incidents are among the most common and complex types of critical incidents encountered by first responders (EHC 1999; GAO 2002). The use of the Activity Theory framework is important because, like other approaches, it considers issues related to people, processes (activities) and technology; but, unlike other approaches, it also prompts consideration of communities (group – formal and informal), division of labor (rule, regulation, and task assignments), and contradiction and conflicts (O’Leary 2007).

This paper focuses on the information supply chain and the interoperability challenges in emergency contexts, and it attempts to answer the following questions: (1) what is an effective data modeling approach for emergency standard development, and (2) what are the key information components and their internal structures for emergency management interoperability, etc. This paper makes two major contributions. The first contribution is the approach used to develop the data model. In this paper we modify Activity Theory and use the adaptation as a theory to guide the requirements engineering and the data model development. Activity Theory prompts consideration of communities and sub-communities, contradictions that emanate from it, division of labor, etc. A consequence of using this approach has resulted in the development of new data types in the data standards that may otherwise not have been included. The approach can also serve as the overarching framework for information management and system design not only in other emergency contexts but also in areas where artifacts are being developed for collaborative purposes. Second, it develops a validated object-oriented XML data model to extend NIEM to address interoperability issues. Currently there are no data models that cater to specific incident types such as chemical incidents and, therefore, the model developed in this paper addresses this issue. The validation uses an RFC-like process. The model uses actual documents from a large number of chemical incidents and is, therefore, of practical value. The development work includes an implementation that allows users to create documents that are compatible with the new data model developed in this paper. The paper informs both theory and practice.

The paper is organized as follows. Section 2 reviews the literature of information supply chain and interoperability. Section 3 presents the Activity Theory informed data model design processes. Section 4 elaborates on the details of the data model. In Section 5, we present a case illustration to evaluate the usability of the data model. Section 6 describes two computer programs that we develop to help the information standardization process. Section 7 discusses the paper’s contributions, and limitations and directions for future research. In addition, as part of Appendix A, the paper includes a summary of national efforts related to emergency management interoperability. Appendix B consists of the full version of the data type illustration. Appendix C contains a detailed data model specification in a spreadsheet format. Appendix D consists of the data model XML schema. Finally, Appendix E provides a large illustration of the data model overview (i.e., Figure 4).

2. Literature Review

In this section, we review the existing literature of information supply chain and information interoperability. The literature review summarizes the findings in these research areas and also identifies the existing research gaps that are addressed by the current study.

2.1. Information Supply Chain

The information supply chain (ISC) has been studied in the context of product development, health care, physical supply chain, business intelligence, data warehousing, and emergency management

(Arora et al. 2006a; Arora et al. 2006b; Gutknecht 2007; Johnston 2005; Marinos 2005; Raghu et al. 2007). The ISC has been defined as “a collection of information and communication technologies to provide a secure integrated decisional environment that enables business partners to collectively sense and respond to opportunities and challenges in a networked eco-system” (Vinze 2006). Unlike the physical supply chain, which is mostly linear, the ISC is more reminiscent of a web that consists of information sharing agents that create, sustain, retrieve, interpret, analyze, and distribute information to meet the ultimate goal of information supply and demand (Foukrod 2006; Marinos 2005; Sun et al. 2005; Vinze 2006). During the flow of ISC, information is constantly moving along critical dimensions of the data life cycle, and it parallels organizational business processes (Marinos 2005). Prior literature suggests that the efficient design and operation of an ISC rely on (1) systemic ISC information requirements analysis through techniques such as information dependency relation (IDR) analysis, (2) collaborative development techniques such as information requirement planning (IRP), and (3) adaptation of conventional supply chain management strategies such as vendor-managed inventory (VMI) into an ISC context (Marinos 2005; Sun et al. 2005). Despite these efforts, the ISC is subject to a wide spectrum of issues with regard to data quality, system design, and infrastructure support (Sun et al. 2005). Examples of related issues include security (Johnston 2005; Vinze 2006), limitations to the information processing capacity (Sun et al. 2005), and interoperability (Howard 2007; Johnston 2005; Khan 2007).

2.2. Interoperability

Interoperability refers to “the ability of two or more entities or systems to exchange information and to use the information that has been exchanged” (IEEE 1990). Stegwee and Rukanova (Stegwee et al. 2003) extend this technical definition to an organizational context and suggest that interoperability resides at the interplay of human systems, business processes, and enabling technologies. Prior studies have explored interoperability issues in a wide variety of domains, including heterogeneous databases, information retrieval, knowledge systems, artificial intelligence, multimedia, geographic information systems (GIS), interoperable system architecture design, and business process modeling (Beech 1997; Goodchild et al. 1997; Gupta et al. 1997; Harrison et al. 2006; Kashyap et al. 1998; Kotinurmi et al. 2003; Sciore et al. 1994). Take heterogeneous databases, for example. Interoperability has been explored within the context of federated databases, data warehousing, integrating databases, and ontology (Allen et al. 2003; Johansson et al. 2003; March et al. 2003; March et al. 2000a; March et al. 1995; Reddy et al. 1994; Rho et al. 1997; Rishe et al. 2000; Sarda 2007; Wang et al. 1990). Supports for interoperability range from methods to meta-models, concrete models, and operational standards (Stegwee et al. 2003). The design of interoperability support should not only address the communication interactions and the data structures, but it should also address the vocabularies to be used when populating the data structures (Kuhn et al. 2001; Lee et al. 2005; March et al. 2000b). However neither of these studies deals with critical incidents nor uses a theory like Activity Theory to guide the approach. In this paper, we focus on the interoperability issues of the information supply chain (ISC) in an emergency context.

The existing research suggests that interoperability in the ISC is a multifaceted concept (COMCARE 2002a; Stegwee et al. 2003). It involves interoperability at five layers: (1) the information level with an emphasis on the data vocabulary and message sets in their storage or transport; (2) the transport level with an emphasis on the underlying infrastructures for communication; (3) the response agency application level with an emphasis on the computer supported collaborative work among response agency systems; (4) the facilitation services level with an emphasis on the facilitative utilities shared among agencies on, for example, authentication; and (5) policy and protocols with an emphasis on the governmental and administrative response practices. While all five levels of interoperability are problematic in the existing emergency ISC, data-level interoperability is deemed by the general emergency response community as the most important aspect of ISC (DHS et al. 2006; EIC 2004; NIEM 2006; SICOP 2005). Data interoperability support is key to ensuring a common semantic understanding among participating organizations and to providing data transport that follows consistent protocols (Chakravarti et al. 2006; Jump et al. 2003). It is important to note that the other dimensions of interoperability also play an important role and may limit the effectiveness of emergency information sharing if it is not addressed properly (Choi et al. 2004a).

Table 1. Information Interoperability Solutions Nationwide			
Data Standards	Interoperability Focus	Responsible Parties	Objectives
Vehicular Emergency Incident Data Exchange Format Standard (xml.coverpages.org/ComcareDataExchangeFormatOverview.pdf)	Within-Domain Interoperability	ComCARE Alliance CAN Data Set Working Group	Enable the automatic distribution of vehicular emergency incident data between the Telematics Service Providers and emergency personnel such as 9-1-1 center, hospitals, transportation agencies, and emergency responders.
Common Alerting Protocol (www.incident.com/cap)	Cross-Domain Interoperability	OASIS Emergency Management Technical Committee and Emergency Interoperability Consortium	Enable the automatic distribution of all types of hazard warnings and local, regional, and national reports.
Emergency Data Exchange Language (www.comcare.org/edxl.html)	Mixture of Within-Domain and Cross-Domain Interoperability		Enable the routing control of emergency messages for efficient sharing among related agencies.
Hospital Availability Exchange			Enable the discovery, request, and acquisition of all types of resources.
IEEE Std. 1512 Standards for Common Incident Message Sets (standards.ieee.org/announce/1512itsb/ase.html)	Within-Domain Interoperability	IEEE and United States Department of Transportation	Enable the exchange of hospitals' bed availability, status, and capacity among hospitals and other emergency agencies.
Traffic Management Standard			Enable the sharing of traffic and incident information among agencies for traffic management such as evacuation and routing.
Public Safety Traffic Incident Management Message Sets			Enable the sharing of transportation related safety information among agencies and the public.
Hazardous Material Incident Management Message Sets			Enable the sharing of chemical-related traffic incidents with information regarding the vehicle and cargo.
Global Justice XML Data Model (www.it.ojp.gov/jxdm)	Within-Domain Interoperability	U.S. Department of Justice	Enable the sharing of criminal justice information among law enforcement, public safety agencies, prosecutors, public defenders, and the judicial branch.
National Information Exchange Model (www.niem.gov)	Mixture of Within-Domain and Cross-Domain Interoperability	U.S. Department of Homeland Security and U.S. Department of Justice	Enable the nationwide sharing of information on justice, emergency management, geospatial and infrastructure protection, immigration etc.

Table 1. Information Interoperability Solutions Nationwide (Continued)

Data Standards		Interoperability Focus	Responsible Parties	Objectives
E9-1-1 Standards (www.911coverage.org/aboutE911.htm)	Standards for Automatic Location Identification (ALI) Data Exchange, Response & GIS Mapping	Within-Domain Interoperability	National Emergency Number Association	Enable the automatic sharing of ALI data between Service Providers and 9-1-1 Data Base Management System Providers. Enable the efficient sharing of number pooling, database communication, and general message exchanges among Service Providers and 9-1-1 Databases Management System Providers.
	Standards for Local Exchange Carriers, ALI Service Providers & 9-1-1 Jurisdictions			
EMS Data Dictionary (www.nemsis.org/dataElements/datasetDictionaries.html)	(currently version 2.2.1)	Within-Domain Interoperability	National Emergency Medical Services Information System	Enable the efficient sharing of EMS information on EMS personnel, patients, situations, assessments, medical history, and medical devices.
HL7 Messaging Protocol (www.ehealthx.com/hl7-xml.asp)		Within-Domain Interoperability	Health Level 7 Organization	Enable the efficient sharing of medical data among all healthcare systems.
PHIN Vocabulary Standards and Specifications (www.cdc.gov/phn/vocabulary)		Within-Domain Interoperability	Public Health Information Network	Enable and foster the use and exchange of consistent information among public health partners.

Since the events of 9/11 and Hurricane Katrina/Rita, a number of research efforts have been launched by governmental agencies, public associations, and the private sector (COMCARE 2002b; DHS et al. 2006; DOJ 2005; E9-1-1 2006a; E9-1-1 2006b; EIC 2005; HL7 2006; IEEE 2000; NEMESIS 2007; OASIS 2005; PHIN 2005). In Appendix A, we list the national initiatives that address one or more aspects of interoperability in the context of critical incidents. In Table 1, we summarize the leading data standards that specifically address information interoperability issues. They are grouped by (1) the interoperability focus that is addressed either within domain interoperability (e.g., to address interoperability barriers between fire companies) or cross-domain interoperability (e.g., to address interoperability barriers between fire companies and police departments), (2) responsible parties, and (3) objectives.

However, none of the existing data standards provide sufficient support for incident management. As we illustrate in Table 1, the majority of the existing standards are targeted toward domain-specific interoperability problems, and standard sets have been developed to serve individual domains (e.g., justice, health care, and transportation). However, these standards within the domain do not fully support incident management that relies heavily on communications across domain boundaries. When cross-domain data standards are concerned, there exist mainly the National Information Exchange Model (NIEM) and Emergency Data Exchange Language (EDXL), which is currently being merged into NIEM. NIEM prescribes data standards for the entire spectrum of homeland security including a set of data standards for "Emergency Management." However, the data standards in NIEM Emergency Management support only alarm events, resource, and message distribution elements. While these supports are necessary for emergency management, the data standards do not address other management aspects such as incident command, response operation, risk assessment, incident setting, etc. In this paper, we develop a broader set of data standards that complement the existing NIEM standards for emergency management.

3. Chemical Incident Response Data Model Development

A data model is a precise and unambiguous representation of organizational information requirements (Hull et al. 1987; Peckham et al. 1988). The development of a data model requires systematic approaches to elicit and analyze the internal elements, structure, and relationships the data model should represent (Zowghi et al. 2005).

An approach driven by Activity Theory represents a method that has gained increasing attention in recent years (Kaptelinin et al. 2006; Uden et al. 2007; Webb et al. 2006). Activity Theory provides a lens to analyze the computer-supported activity of a group or organization (Kaptelinin et al. 2006) and to study the design of artifacts for individuals and organizations (Bertelsen et al. 2003; Chaudhury et al. 2001).

Activity Theory suggests that human activity is directed toward a material or ideal object, mediated by artifacts or instruments, and socially constituted within the surrounding environment (Bertelsen et al. 2003; Vygotsky 1978). Activity can be understood as a systemic structure with various activities that are collated or extended away from the core activities (Bertelsen et al. 2003). The subject is the active element of the process and can be either an individual or a group. The object transformed by the activity can be an ideal or material object (Fuentes et al. 2003). The transformation process is enabled and supported by instruments (physical or logical). The instrument provides the subject with the experience historically collected by his/her community (Fuentes et al. 2003; Webb et al. 2006). During the interaction, subjects internalize and/or externalize their cognitive schemes and their understanding of the relationship between themselves and the external objects, instruments, surroundings, etc. Activity Theory also considers contradictions as one critical aspect and suggests that contradictions are the driving force in human interaction and system design (Bertelsen et al. 2003; Uden et al. 2007). The contradictions may also exist inside the subjects, objects, instruments, and their interactions. In Activity Theory, activity is constantly developing as a result of contradictions and instability and because of the development of new needs. This historical development of activity implies a development of artifacts and environment: modes of acting within an activity system are historically crystallized into artifacts (Bertelsen et al. 2003; Engestrom 1987; Kaptelinin et al. 2006;

Leont'ev 1981; Nardi 1996; Webb et al. 2006). In this study, we further extend the traditional formalisms of Activity Theory (Engestrom 1987) to include "environment" as a relevant and important construct. Environmental factors (e.g., "weather") impact the activities carried out by subjects.

Published literature shows that a number of approaches that allow requirement elicitation and analysis for data modeling have been developed; below we present only those that are widely used. Although not exhaustive, this selection is representative of both the range described in the relevant literature and of what is currently practiced in industry (Zowghi et al. 2005): goal-oriented (Donzelli et al. 2003; Mylopoulos et al. 1999; Zhang et al. 2007), function-based (Chandrasekaran et al. 1996), and viewpoint-oriented (Finkelstein et al. 1991a; Finkelstein et al. 1991b; Kotonya 1999; Steen et al. 2004). The comparison suggests that an extended approach that is informed by Activity Theory provides a more comprehensive framework to elicit and analyze the requirements for data modeling. Take the viewpoint-oriented approach, for example. A viewpoint is a collection of information about a system or related problem that is gathered from a particular perspective (Finkelstein et al. 1991a). While viewpoint approaches model the domain from multiple perspectives to form a complete picture of the target system, they are typically criticized for not being able to take into account non-functional requirements that may be embedded in the community and social environment (Nuseibeh et al. 1996; Sommerville et al. 1998). They do not consider contradictions and conflicts that are part of collaborative systems. Prior research suggests that such conventional approaches are typically limited in the scope of analysis that they can offer (Simsion et al. 2001; West 2003). Table 2 provides a comparative summary.

Table 2. Comparison of Requirement Engineering Approaches

Dimension	Focus	Goal Oriented	Function Based	Viewpoint Oriented (VOSE/ VORD)	Adapted Activity Theory
Goal	Intention	X			X
People	Individual (role)	X	X	X	X
	Community (group, role)				X
Process	Division of Labor (rule, task assignment)			X	X
	Activity and Activity Structure	X	X	X	X
	Object Hierarchy	X	X		X
Technology	Instrument (form)			X	X
Environment	Context Awareness	X	X		X
	Social Issues				X
	Environment Issues				X
Interaction	Contradictions	X			X

Adapted from Engestrom (Engestrom 1987; Engestrom 1999), we briefly illustrate in Figure 3 the extended Activity Theory and its application in the modeling of data related to the response information supply chain.

In Table 3 we map Activity Theory constructs in the context of emergency management (Bertelsen et al. 2003; Engestrom 1999). Note that the mapping in Table 3 is not an exhaustive enumeration of aspects but only an illustrative set of guidelines that we use.

Table 3. Application of Activity Theory in Data Model Development

Activity Theory Construct and Perspective	Example Design Implications
<p>Subject A subject in Activity Theory is an agent that undertakes activity.</p> <p>In our case the subjects are individual responders and domain subject matter experts who provide or consume information from the information supply chain.</p>	<p>The subjects involved in mitigating incidents that involve chemicals need to be identified.</p> <p>Their individual experience and viewpoints will help us comprehend the response information requirement and its management.</p>
<p>Object An object is an artifact shared by a community of subjects that work together to reach a desired outcome (Barthelmiss et al. 2002; Kuutti 1991).</p> <p>The object in our case is the data model being developed to improve collaboration and coordination (outcome) to effectively deal with chemical incidents by developing common operating picture, exchanging task critical information, etc.</p>	<p>The data model should be comprehensive and the exchange of critical information should be easy in terms of data model usability. This prompts the use of XML in describing the data model because of its extensibility, structured nature, and platform/software independence.</p>
<p>Community According to Barthelmiss and Anderson (Barthelmiss et al. 2002) this construct includes subjects that share an object.</p> <p>The first and second responders are subjects who form the community in our case. The first and second responder community includes several sub-communities such as fire and rescue, law and order, and emergency medical personnel, FEMA, hazmat teams, etc. Sub-communities also form based on the agency to which they belong.</p> <p>Each sub-community brings in a different perspective that derives from its routine daily tasks, functions during critical incident, group culture, etc. These differences also include different information artifacts such as the system they bring to bear during the mitigation of a critical incident.</p>	<p>The interoperability needs of the different communities must be elicited. In addition requirements should also consider the communication needs between groups. Therefore requirements should be elicited from multiple municipalities as well as across levels of government in counties, cities, towns, and villages. They should also be elicited from different response function groups.</p>

Table 3. Application of Activity Theory in Data Model Development (continued)

Activity Theory Construct and Perspective	Example Design Implications
<p>Tools / Instruments, Rules and Division of Labor The relationships between subject, object and community are mediated by tools, rules and division of labor. Tools of communication include current paper and legacy systems that are used for communication to develop common operating pictures, exchange task critical information, etc. NIEM provides general vocabulary to be used for such messaging. Rules and the division of labor serve to mediate between the responders and responder groups.</p>	<p>The design implications of tools require that the requirement elicitation process analyzes the existing forms used for communication during critical incidents. In addition it is important to consider existing standards such as that provided by NIEM¹. Further, the data model should provide the elements necessary to function under the Incident Command System (ICS) prescribed by Department of Homeland Security. The ICS² structure imposes protocols for interaction, governance structure and division of labor. This includes access control elements to secure stored and transmitted information.</p>
<p>Activity Activities transform objects via a process that typically has steps or phases (Kuutti 1991). Chains of actions guided by a subject's conscious goals carry out an activity over a period of time resulting in objective results (Bartheimess et al. 2002)</p>	<p>All the activities that responders engage in should be analyzed. Responders typically engage in activities such as the provision of information about the scene, requests for resources and the exchange of information to develop a common operating picture (reflective communication). This analysis should generate data labels that support and annotate the activities necessary to mitigate the chemical incident.</p>
<p>Environment Environment is a construct that includes all external issues that have an impact on the conduct of the activity. This includes threats, hazards, environmental conditions such as direction of wind, operating conditions, etc. We adapt Activity Theory to include this construct for our purposes.</p>	<p>Requirements should reflect the different environmental elements that need to be captured and the properties of those elements. The data model should contain element labels to specify threat conditions, intensity, scene location, etc.</p>

¹ NIEM is the national leading initiative of emergency standard. The compliance with NIEM ensures compatibility with other efforts in this area by the Department of Homeland Security.

² The information supply chain (ISC) should support the incident command system (ICS)

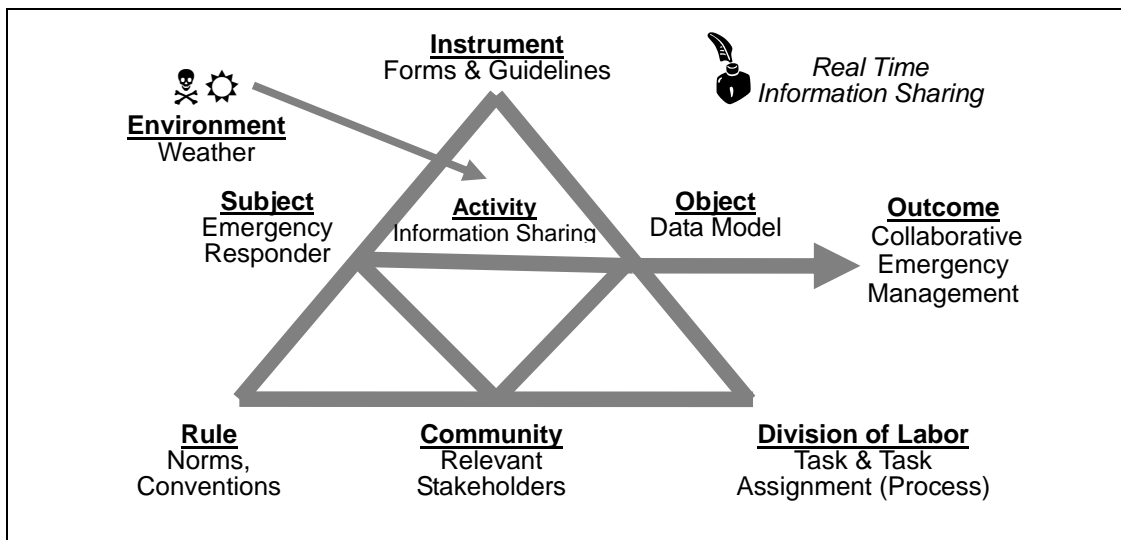


Figure 3. Application of Activity Theory in Response Information Supply Chain Data Model (Adapted and Extended from Engestrom 1987 and 1999)

Table 4. Examples of Contradiction of Emergency Management and Related Data Design

Illustrative Issue	Potential Contradiction	New Data Type
Fire, Police, Emergency Medical Service, Hazard Material Workers, etc	Response agencies often compete for the incident commander position. Most of the time, the fulfillment of this position is determined by the incident type. For example, if there is a criminal aspect to an incident, law enforcement agencies are in charge of the scene; in all other chemical-related incidents, typically the fire chief is in charge. This information should be captured and clearly identified during the incident response.	Incident Type
	The police would like to secure the scene first before they allow other agencies to enter the incident site. This frequently interferes with the operations of other agencies such as Fire and EMS who would like to enter the site with minimal delay. The scene security information should be captured and disseminated every time it changes.	Scene Security
Local, State, and Federal	It is important to identify the governing jurisdiction /municipality that is primarily responsible for the incident response. Different jurisdictions (e.g., "home rule" municipalities) may vary in their regulations and practices regarding emergency management. The responsible jurisdiction also has the obligation to provide resources and to compensate external supporting agencies for their financial cost. To avoid disputes, this information should be captured and clearly identified.	Location including "District"
Emergency Managers	Information sharing in emergency management should be controlled to ensure that information is distributed among authorized personnel only. To avoid conflicts over access to information, the information on incident classification level and personnel security clearance level should be clearly captured and identified.	Incident classification level and responder personnel security clearance level

Table 5. Data Model Development Process Overview

Development Process	Design Events
Document Collecting: Collecting relevant documents, information sharing requirements, management guidelines	<ul style="list-style-type: none"> - More than 60 documents were collected, including chemical incident response technical data forms; chemical incident response dispatch forms; field notes and chronological logs; response guidelines (e.g., Incident Command System (DHS 2004b) and 2004 Emergency Response Guidebook (DOT et al. 2004)); chemical dictionaries and fact sheets (e.g., material safety data sheets); chemical databases (e.g., Computer-Aided Management of Emergency Operations (EPA 2006)); and chemical-involved incident messaging systems (e.g., National Fire Incident Reporting System-NFIRS (DOS et al. 2006))
Data Analysis: Synthesize and reconcile the core information and internal relationships	<ul style="list-style-type: none"> - Following the Incident Command System as the basis, the rest of the documents are analyzed to develop a general information management framework, which captures the key elements and structures for the data model - This process is facilitated by interviews with domain experts in incident management
Data Model Specification: Define typing of identified components	<ul style="list-style-type: none"> - NIEM is utilized as the foundation of the new data model for the reuse and extension of data elements. NIEM contains emergency management components and is endorsed by U.S. DOJ and DOH. The NIEM compliance allows the new data model to maximize its utility - An object-oriented structure is used for the data model to allow inheritance based design for reuse and extension - XML based data model specification and implementation are used. XML is a machine readable and platform independent specification language which allows for the development of automated information processing tools via heterogeneous technological solutions
Request for Comment (RFC): Solicit data model review opinions from domain experts	<ul style="list-style-type: none"> - Seven evaluators from hazmat, fire, police, and standard development - A detailed tutorial is given in RFC to explain to responders how the data is structured through an object-oriented approach for inheritance and extension - Two experts are familiar with both emergency management information sharing and standard development - Two rounds of comments are collected
Feedback Synthesize and Model Update: Improve the data model with expert review	<ul style="list-style-type: none"> - Data model revision is facilitated by the panel of seven evaluators. Consensus building is achieved through a Delphi-like approach - Major changes are made to 8 data types in addition to around 20 other changes
Data Model Finalization: Documentation	<ul style="list-style-type: none"> - Data model specification in XML Schemas and EXCEL spreadsheet

In the remainder of this section we illustrate issues of contradictions that are an important aspect of Activity Theory. The issues concerning community and the division of labor have not been elaborated to avoid replication (See Table 3 for details). Activity Theory considers any activity system may have levels of contradictions, either inside the key elements or between them, which must be attended to in the analysis of a working situation (Engeström 1999b). Contradictions are important for system design in that they indicate emergent opportunities for the activity development and can be used as

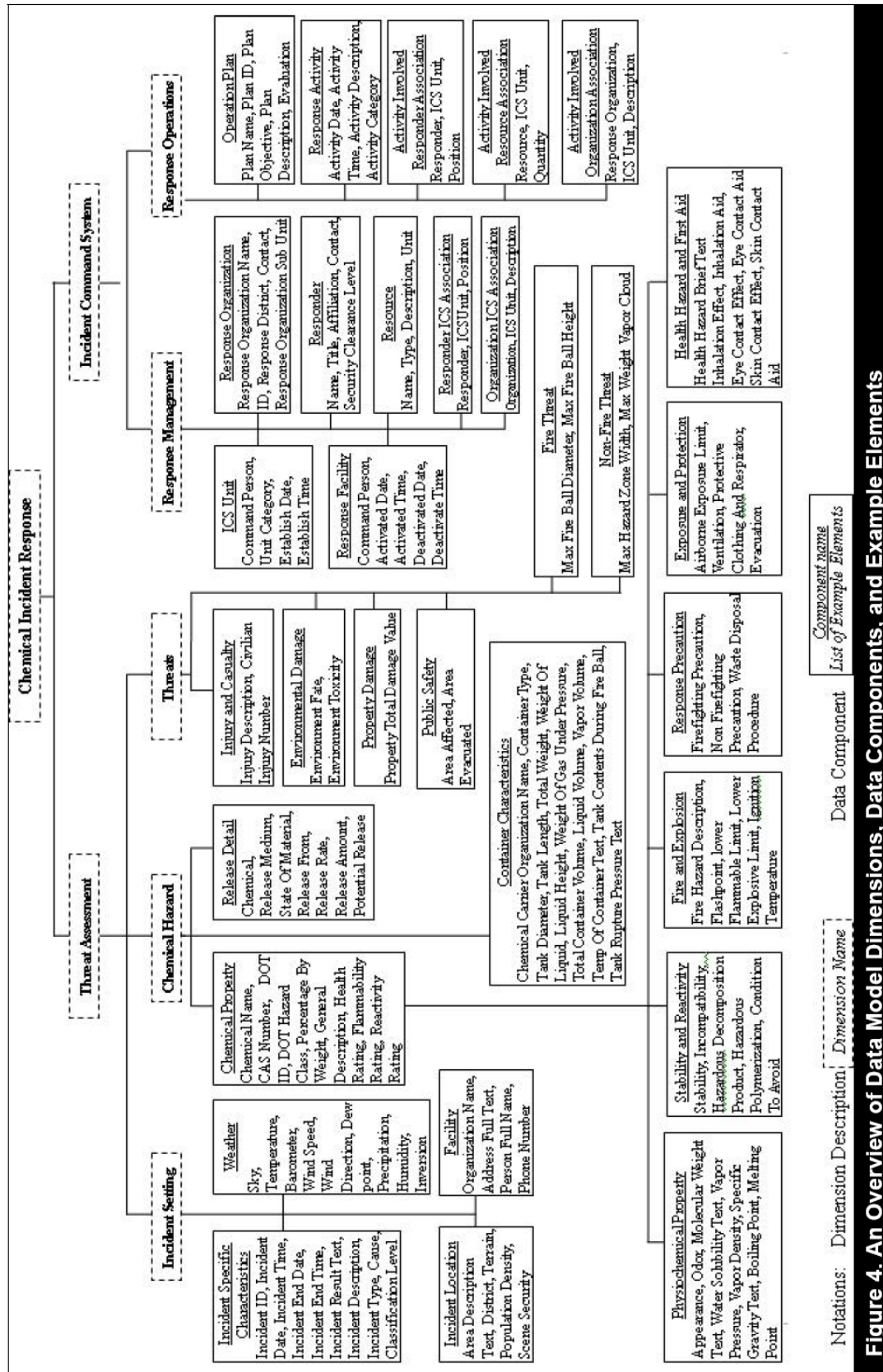
sources of improvement (Kuutti 1996). In Table 4 we provide a few examples of how the examination of contradictions has led to the creation of data types that are now part of the data model.

Contradictions also arise between the constituent nodes as may be the case, for example, between the subject (emergency managers in different counties) and the instrument (varying existing tools and forms). The subjects may have a widely discrepant view of the data model design, as each may prefer one that is compatible with his/her existing emergency management information systems. In addition, there is a conflict between the choice of instruments and the rules of the community. Due to the fact that emergency managers across county, city, town, and village levels utilize different response guidelines, forms, and documentations, there is a conflict regarding which data element should be included for a commonly agreed-upon data standard. In this regard, the data model design requires both a comprehensive collection of supportive materials at all levels as well as collaboration among the agents they represent. Due to this contradiction, an RFC-like process is used to iteratively interact with the experts to arrive at a consensus. The examination of conflicts between the stakeholders requires a validation and consensus building process much like the Delphi-methodology, yet it should also build on NIEM as the foundation for the new data model.

The data model development processes are summarized in Table 5.

We developed a conceptual framework that identifies the key aspects (dimensions and structures) of task-critical information for emergency management (see Table 6). This framework facilitates the classification of information elements, ensures internal relationships, and serves as the overarching framework to organize the newly developed data model. The development of this information management framework is grounded on national and local standard response procedures as well as management guidelines such as the Incident Command System, the 2004 Emergency Response

Table 6. Information Management Framework for Chemical Response		
Major Dimensions	Sub Dimensions	Example Data Types in Dictionary Vocabulary
Threat Assessment: Facts about chemical incident occurrence and its consequences. Source of the response decision making and strategy development	<u>Incident Setting</u> Descriptions of the physical attributes of the incident. Valuable for response preparation and initial set up	Incident specific characteristics, weather, incident location, facility
	<u>Chemical Hazard</u> Details of the chemical product and its release. Bases of chemical handling procedures for achieving operation safety	Chemical property (e.g., physiochemical property, stability and reactivity, fire and explosion, etc.), container characteristics, release detail
	<u>Threats</u> Information on the incident impacts. Required for response strategy development (e.g., prioritizing and resource allocation)	Injury and casualty, environmental damage, property damage, public safety, fire threat, non-fire threat
Incident Command System: Records of incident response structure and progress. Source of response coordination and control	<u>Response Management</u> Descriptions of the command system and entities involved. Valuable for the structuring of adaptive response management	ICS unit, response facility, response organization, responder, resource, responder ICS association, Organization ICS association
	<u>Response Operation</u> Details of the response activities design and implementation. Bases of monitoring and evaluating the response progress	Operation plan, response activity, activity involved responder association, activity involved organizational association, activity involved resource association



Notations: Dimension Description, Dimension Name, Data Component, List of Example Elements

Figure 4. An Overview of Data Model Dimensions, Data Components, and Example Elements

Guidebook, and the New York State Chem-Bio handbook (DHS 2004b; DOT et al. 2004; Sidell et al. 2000). It is further enriched by prior literature (Auf der Heide 1989; Shen et al. 2004; Turoff 2002; Turoff et al. 2004) and the data analysis of raw materials that were collected from local emergency responders in the western New York area. In the following section (Section 4), we introduce the data model along with the framework dimensions. In Figure 4 we present the overview of the data model for chemical incidents. Please refer to Appendix E for an expanded version of Figure 4.

4. Data Model Description

In this section, we introduce the chemical response data model. It includes task critical data that is typically exchanged in a chemical emergency response. The data model also provides a validated set of standards that can be applied to fill the gaps in interoperability.

4.1 Threat Assessment

Threat assessment is an important response task in which response agents analyze the incident to make an informed decision and decide on the nature of their response planning. In a typical threat assessment, response agents share information on the incident setting, chemical hazards, and threats.

4.1.1 Incident Setting Data Vocabulary

Incident-setting data provides general information such as location and weather. It is important for strategic planning for personnel/resource entry and deployment. We provide a brief summary of incident-setting data vocabulary in Table 7 (Please refer to Appendix B, C, and D for detailed definitions). To comply with NIEM as suggested by the Activity Theory framework, we follow an object-oriented approach and define our data elements through inherence relationships from the existing NIEM standards. NIEM has developed a set of useful base elements such as `u:ActivityType`, which defines a data type for one or more related actions, events, or process steps (Please refer to NIEM v1.0 for details). As such, inheritance and extension from base elements allows for the rapid development of NIEM-compliant new data types. As a NIEM convention, `u:SuperType` is the root of the entire NIEM data model; in order to stay NIEM compliant, new data elements that are not inheritable from any existing NIEM data types are required for the establishment of inheritance relationships from `u:SuperType`. In addition, we relate the data model elements to the Activity Theory (AT) to suggest how they are derived.

Major Data Element	Description	Data Type	Example Sub-Elements
Incident Location (Reference Adapted - AT (Environment))	Location info	<code>em:LocationType</code>	Terrain, district, population density, area description text, scene security
Weather (Reference Adapted - AT (Environment))	Weather info	<code>u:SuperType</code>	Sky, temperature, barometer, wind speed, wind direction, dew point, precipitation, humidity
Incident Specific Characteristics (Reference Adapted - AT (Environment))	Incident identification info	<code>u:ActivityType</code>	Incident ID, incident data and time, incident cause, incident type, classification level
Facility (Reference Adapted - AT (Environment))	Involved facility info	<code>c:FacilityType</code>	Organization name, address full text, person full name

4.1.2 Chemical Hazard Data Vocabulary

The sharing of information on chemical hazards allows the responders to comprehend the potential hazards that may emerge due to the chemical products involved (Kim et al. 2005). Table 8 provides a brief list of data elements that describe chemical hazard information.

Table 8. Chemical Hazard Data Vocabulary

Major Data Element	Description	Data Type	Example Sub-Elements
Chemical (Reference Adapted - AT (Environment))	Description of chemical product involved	u:SuperType	Chemical Name, CAS number, DOT ID, DOT hazard class code, is EHS indicator, is CERCLA indicator
Physio Property (Reference Adapted - AT (Environment))	Physiochemical attributes	u:PropertyType	PH value, odor, molecular weight, water solubility, vapor pressure
Stability Reactivity (Reference Adapted - AT (Environment))	Stability and reactivity properties	u:SuperType	Stability description, hazardous decomposition products and hazardous polymerization
Fire and Explosion (Reference Adapted - AT (Environment))	Conditions causing fire and explosion	u:SuperType	Flash point, lower flammable limit, lower explosive limit, ignition temperature, fire extinguishing agent
Response Precaution (Reference Adapted - AT (Activity))	Precautions for response operations	u:SuperType	Firefighting precautions, non-fire response precautions, and waste disposal precautions
Exposure Protection (Reference Adapted - AT (Activity))	Protection to avoid chemical contamination	u:SuperType	Airborne exposure limit, ventilation procedures, protective clothing and respirator, evacuation, isolation
Health Hazard First Aid (Reference Adapted - AT (Environment))	Symptoms of health and medical aids	u:SuperType	Inhalation effect, inhalation aid, eye contact effect, eye contact aid
Container (Reference Adapted - AT (Environment))	Chemical container property	c:PropertyType	Container category, total weight, total volume, tank rupture pressure
Release (Reference Adapted - AT (Environment))	Chemical release details	c:IncidentType	Material state, release into, release from, release duration, release rate

Table 9. Chemical Threats Data Vocabulary

Major Data Element	Description	Data Type	Example Sub-Elements
Injury Casualty (Reference Adapted - AT (Environment))	Personal injury and casualty	u:SuperType	Situation description, responder injury number, civilian injury number
Environment Damage (Reference Adapted - AT (Environment))	Environmental contamination and pollution	u:SuperType	Environment fate description, environment toxicity description
Property Damage (Reference Adapted - AT (Environment))	Loss of property	u:SuperType	Property damage description, property total damage value
Public Safety (Reference Adapted - AT (Environment))	Impacts on public safety	u:SuperType	Affected area description, affected area size, evacuated area description
Fire Threat (Reference Adapted - AT (Environment))	Characteristics of the chemical fire	u:SuperType	Maximum fire-ball diameter, fire ball duration, fatality zone radius
Non Fire Threat (Reference Adapted - AT (Environment))	Threats existing in a non-fire situation	u:SuperType	Downwind hazard distance, maximum weight vapor cloud, relative gas in air density

4.1.3 Chemical Incident Threats Data Vocabulary

Information on threats reveals the immediate consequences resulting from the chemical hazards presented by the chemical spill incident. Table 9 illustrates the major data elements in this category.

4.2 Incident Command System

Based on their assessment of the threats involved in a chemical incident, the response agents collaborate and coordinate their task force for effective incident mitigation. The data vocabulary for the incident command system captures both the response management design and the resulting response operations. During the course of the response, it is important to publish information on the incident command system, as it provides situational awareness of the collective response, clarifies the task assignment and resource allocation, and enforces the command and control (Choi et al. 2004a; Vinze et al. 1999).

4.2.1 Response Management Data Vocabulary

We have defined a set of data components such as response facility, incident command system (ICS), response organization, and resources. In Table 10, we briefly describe the related data types.

Major Data Element	Description	Data Type	Example Sub-Elements
Response Facility (Reference Adapted - AT (Environment))	Response facilities information	c:StructureType	Command person, description, activated date, deactivated date
ICS Unit (Reference Adapted - AT (Community))	ICS unit management structure	c:OrganizationType	Command person, unit category, organization established time, ICS unit sub unit, ICS unit parent unit
Responder (Reference Adapted - AT (Subject))	Responder characteristics	u:PersonType	Affiliated organization, title, expertise, contact, security clearance level
Resource (Reference Adapted - AT (Environment))	Response resource	em:ResourceType	Resource name, resource ID, resource location, certification
Response Organization (Reference Adapted - AT (Community))	Response organization description	u:OrganizationType	Response district, response organization sub organization

Major Data Element	Description	Data Type	Example Sub-Elements
Response Operation Plan (Reference Adapted - AT (Activity))	Details of response operation planning	u:SuperType	Plan name, plan ID, plan objective, plan description, approved date
Response Organization Association (Reference Adapted - AT (Activity))	Involvement of organizations in response operation	u:AssociationType	Activity reference, response organization reference, is primary organization indicator
Responder Association (Reference Adapted - AT (Activity))	Involvement of responders in response operation	u:AssociationType	Activity reference, responder reference, role description
Resource Association (Reference Adapted - AT (Activity))	Involvement of resource in response operation	u:AssociationType	Activity reference, resource reference, quantity

4.2.2 Response Operations Data Vocabulary

The data model also includes data elements describing response operations. The standardized data structure of response operations facilitates the monitoring, tracking, and analysis of response progress. We illustrate the related data elements in Table 11.

5. Case illustration of Data Model Application

The development of a data model contributes to the response information supply chain in that it supports the import and export of information exchange documents and enables the automatic processing of information through end-user processing devices (Aylward et al. 2006; DHS 2005; Frale 2005; Raghu et al. 2004; Raghu et al. 2003; Weinshel 2006). As an illustration, we apply the data vocabulary to a chemical incident in order to standardize a real document that is exchanged during a response. The document (see Figure 5) we studied is titled "Release Report Form." It is used in western New York and it is exchanged between local hazard material agencies and the New York State Emergency Response Commission to report and manage chemical incidents.

The case provides a close reflection of the utilization of Activity Theory for interoperable information sharing in emergency management. That is, the local hazard material agencies and the New York State Emergency Response Commission (subjects) share the Release Report Form (object) to achieve the situational awareness of the chemical incident (outcome). The information sharing is mediated by the new chemical response data model that standardizes the task-critical data. The document that is exchanged is defined and restricted by the incident management guidelines (rule). Information sharing involves responders from domains such as Haz-Mat, fire, and emergency service (division of labor). Underlying this information sharing are the local, state, and federal response agencies (community). The application demonstrates the effect of the data vocabulary on real-life practices of emergency information interoperability. It further presents a typical process in which the vocabulary may be utilized to leverage existing response capabilities.

To standardize the Release Report Form using our data model, we follow the process adopted from the standard NIEM Information Exchange Package Development process (DHS 2004a). The three phases - namely Modeling, Mapping, and XML Instance Building - transfer the unstructured and unstandardized paper documents into a syntactic, structured, and semantically homogeneous XML document. This transfer allows for automatic processing by end-user computer systems and enables easy importing and exporting to share response critical information.

The modeling process analyzes the document content and structure. The domain model categorizes and groups the document fields according to their relevance. For example, the document fields such as date of release, time, amount released, duration, release medium, and location together constitute information about a chemical release. Therefore we group these document fields together (as in composition operation in Object-Oriented Modeling) and create a domain entity named Release. Subsequently, the entire Release Report Form is divided and represented by a set of domain entities.

Based on the domain model, we map the document fields into the data standards in the chemical incident response data model and NIEM. We record the mapping results and illustrate them as a snapshot in Figure 6a. For example, the domain entity of Release is mapped to *ReleaseType* in the chemical incident response data model. This mapping thus allows the document fields of *amount released*, *duration*, *release medium*, and *location* to be mapped to corresponding data elements in the *ReleaseType*. If no exact mapping for a given domain entity can be found in the two data models, we map its elements individually. For instance, the *caller name*, *affiliation*, *telephone*, and *reference* in the domain entity of Caller are mapped to the corresponding elements in *ResponderType* while the *call-date* in Caller is mapped to NIEM *u:DayType*. The mapping reveals its usefulness and great flexibility in meeting the standardization requirements. The mapping process is followed by the XML instance creation process in which we develop an XML document (see Figure 6b) that the emergency responders can distribute among various agencies.

NEW YORK STATE EMERGENCY RESPONSE COMMISSION
RELEASE REPORT FORM - SARA TITLE III SECTION 304

State Spill Hotline (800) 457-7362
Alternate # State Warning Point (518) 457-2200

1. ~~Callers' Name~~ MIKE WALTERS ~~Call Date~~ 5-26-95
2. ~~Affiliation~~ ERIE CO. DEPT OF EMERG. SERVICES ~~Time~~ _____
3. ~~Telephone~~ _____ ~~Ref. #~~ 3 _____
4. ~~Material Released~~ LOW SULFUR DIESEL FUEL ~~EHS:~~ /
~~CERCLA:~~ /
5. ~~Amount Released~~ 24.000 NET 60⁰⁰ 641 lb/gal
6. ~~Date of Release~~ 5-26-95 ~~Time~~ _____ ~~Duration~~ _____ hr _____ min
7. ~~Release Medium:~~ _____ air _____ water X land
8. ~~Weather Conditions:~~ CLEAR SUNNY - LIGHT WINDS
9. ~~Location~~ (St/Bldg. #) END OF CITYVIEW AVE
~~of~~ _____
~~Release:~~ (City/County) TOWN OF HAMBURG / ERIE / N.Y.
10. ~~Facility (name):~~ _____
(address): _____
11. ~~EMERGENCY CONTACT (name):~~ _____
12. ~~Incident Description:~~ RR DERAILMENT - 9 TANK CARS
FUEL OIL = LPG =
13. ~~Health Risks:~~ _____
14. ~~Precautions:~~ _____

15. ~~Additional Notifications made:~~

Local Fire Department - dial 911	<input checked="" type="checkbox"/> yes	<input type="checkbox"/> no	_____ time
County Department of Emergency Services - 846-6578 (24 hours)	<input checked="" type="checkbox"/> yes	<input type="checkbox"/> no	_____ time
NYS DEC - 1-800-457-7326 (or) - 1-518-457-2200	<input checked="" type="checkbox"/> yes	<input type="checkbox"/> no	_____ time
Federal National Response Center - 1-800-424-8802	<input checked="" type="checkbox"/> yes	<input type="checkbox"/> no	_____ time

16. ~~Remarks, etc.~~ _____

17. ~~Form Completed By:~~ (signature) Richard R. Revell
(title) DEP. DISASTER COORD.

Figure 5. Release Report Form

Domain Entities	Document Fields	Mapping	Sample Data
Chemical	Material Released	ReleaseReportForm/Chemical/ChemicalName	Low Sulphur Diesel Fuel
	EHS	ReleaseReportForm/Chemical/IsEHSIndicator	
	CERCLA	ReleaseReportForm/Chemical/IsCERCLAIndicator	
Response Precaution	Precautions	ReleaseReportForm/ResponsePrecaution/NonFirefightingPrecaution	
Health Hazard	Health Risks	ReleaseReportForm/HealthHazard/HealthHazardSummary	
Release	Date of Release	ReleaseReportForm/Release/ActivityDate	5-26-95
	Time	ReleaseReportForm/Release/ActivityTime	
	Amount Release	ReleaseReportForm/Release/ReleaseAmount	
	Duration	ReleaseReportForm/Release/ReleaseDuration	
	Location	ReleaseReportForm/ReleaseType/IncidentLocation	End of CityView Avenue Town of Hamburg/Erie/NY
	Release Medium	ReleaseReportForm/ReleaseType/ReleaseInto	Land

Figure. 6a Snapshot of Release Report Form Mapping Sheet

```

<Chemical>
  <ChemicalName>Low Sulphur Diesel Fuel</ChemicalName>
  <IsEHSIndicator></IsEHSIndicator >
  <IsCERCLAIndicator></IsCERCLAIndicator>
</Chemical>
<ResponsePrecaution>
  <NonFirefightingPrecaution></NonFirefightingPrecaution>
</ResponsePrecautions>
<HealthHazard>
  <HealthHazardSummary></HealthHazardSummary>
</HealthHazard>
<Release>
  <ActivityDate>5-26-95</ActivityDate>
  <ActivityTime></ActivityTime>
  <ReleaseAmount></ReleaseAmount>
  <ReleaseDuration></ReleaseDuration>
  <IncidentLocation>End of CityView Avenue Town of Hamburg/Erie/NY</IncidentLocation>
  <ReleaseInto>Land</ReleaseInto>
</Release>
    
```

Figure. 6b Snapshot of Release Report Form XML Instance

6. Implementation

The case above demonstrates the usefulness of the chemical incident response data model and also lays out the processes for transforming a given document into a standardized XML document for sharing and exchange. In order to help the practitioners with the transformation, we have developed two software programs in this study that semi-automate the document standardization tasks.

The software program “Association Wizard” is developed in Java 5.0 and it functions to semi-automate the mapping process. The software (see Figure 7.a and 7.b) allows the users to navigate through entries in the hierarchy of the data dictionaries to identify the appropriate mappings for the document fields. During the document transformation, the Association Wizard keeps track of the users’ mapping selections and it automatically generates the mapping spreadsheet accordingly. The second software program is an “Auto-Generation” tool designed in Java 5.0 and functions to facilitate XML document instance building. The tool reads the mapping spreadsheet (path and sample data) and automatically produces the corresponding XML document.

The two sets of software programs semi-automate the development of documents compliant with the data model developed in this paper. The current versions of the programs primarily function in relation to the chemical incident response data model and the NIEM data model. They can be extended to incorporate the other data models as long as they are designed in compliance with the NIEM design conventions.

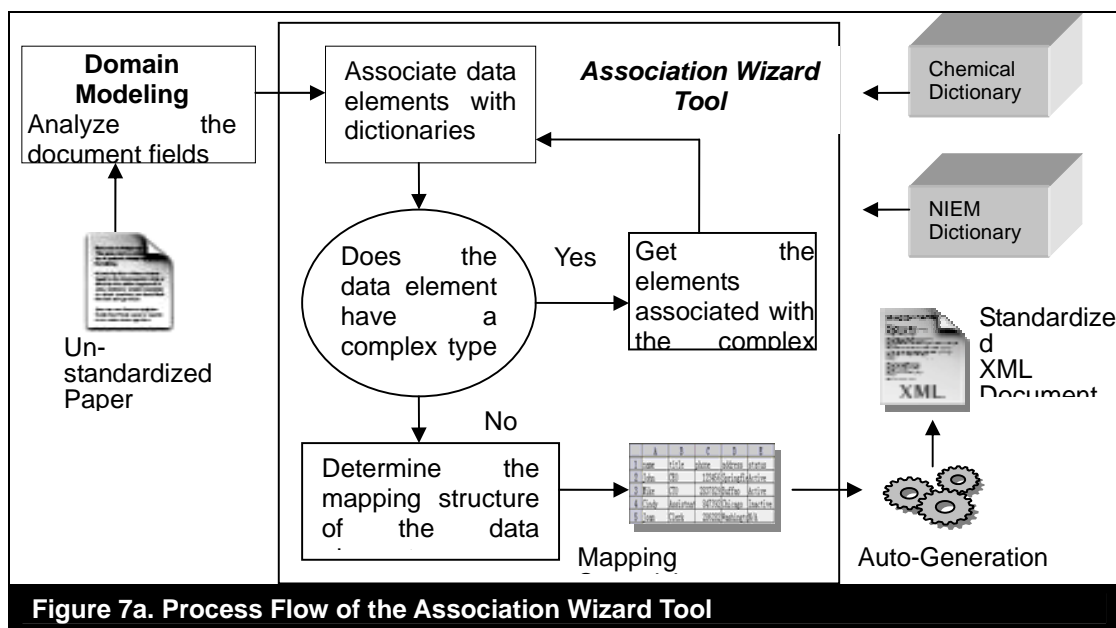


Figure 7a. Process Flow of the Association Wizard Tool

7. Conclusion

Information interoperability in the context of emergency response systems remains an understudied area. To this end, our paper informs theory in that it adapts Activity Theory to guide the requirements engineering process and it uses a novel approach to develop a set of data standards to address the challenges of information interoperability. The inclusion of an environment construct enriches the formalisms of Activity Theory, as environment factors impact activities carried out by subjects. In addition, this paper develops the information management framework (Table 6) for emergency management. This framework identifies the key dimensions and requirements in information management. It directly helps the development of a data model and may also contribute to the design of collaborative systems for organizational management in emergency response. This paper also informs practice in that the contribution of this paper includes the development of an XML based data model to allow the sharing of task-critical data across domains in support of day-to-day operations. Such a model removes the barriers in information sharing and also reduces the design and development cost needed to build and implement a robust and agile information supply chain system (Choi et al. 2004b). The paper includes a set of artifacts such as an XML-based data model and the software implementations to facilitate document standardization. Finally, the data model is validated by a panel of domain experts in emergency response and data standard development for comprehensiveness and discrepancy checking (Kim et al. 1995); we present an illustration of the data model application in this paper to exemplify its usefulness in addressing practical issues in the field.

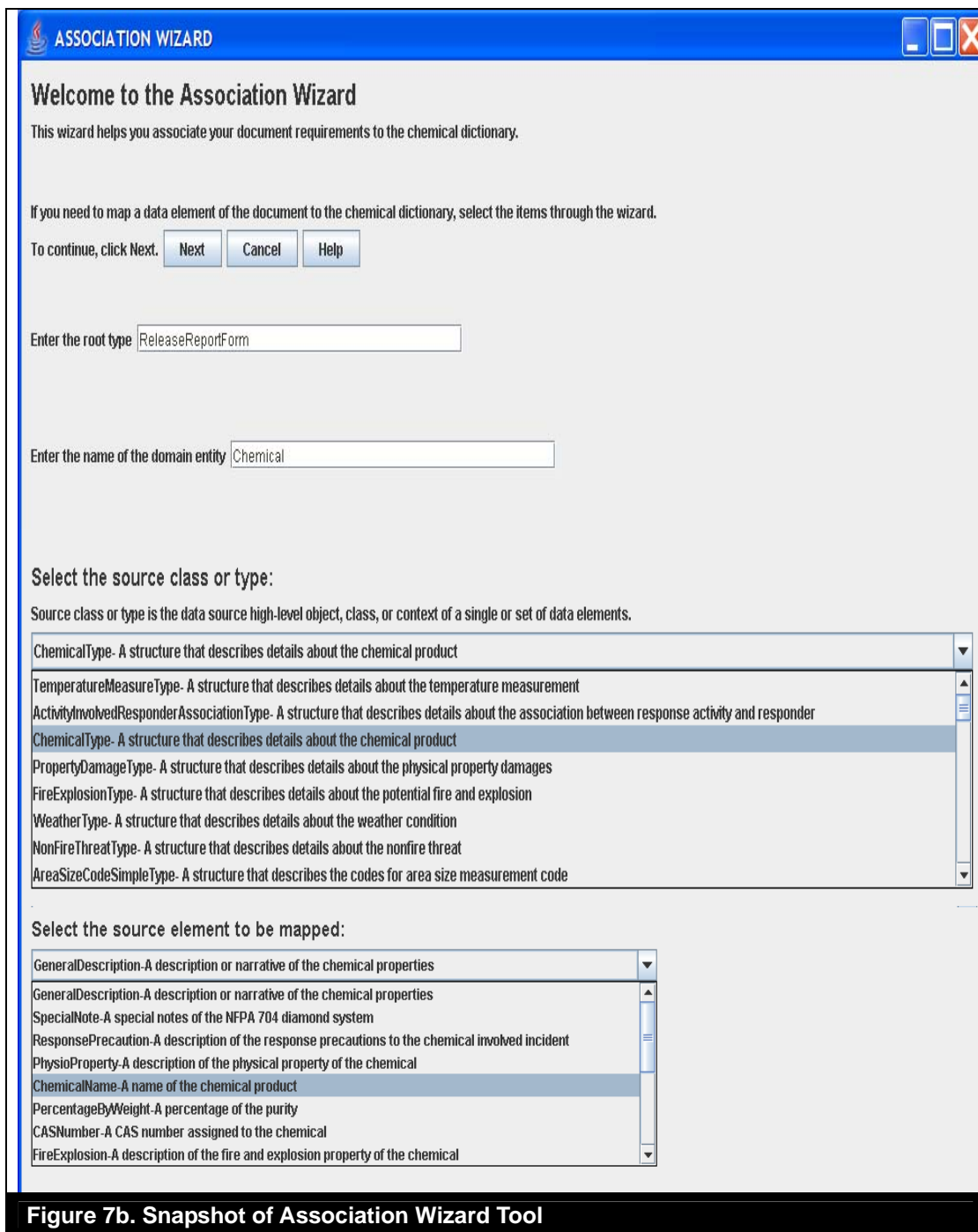


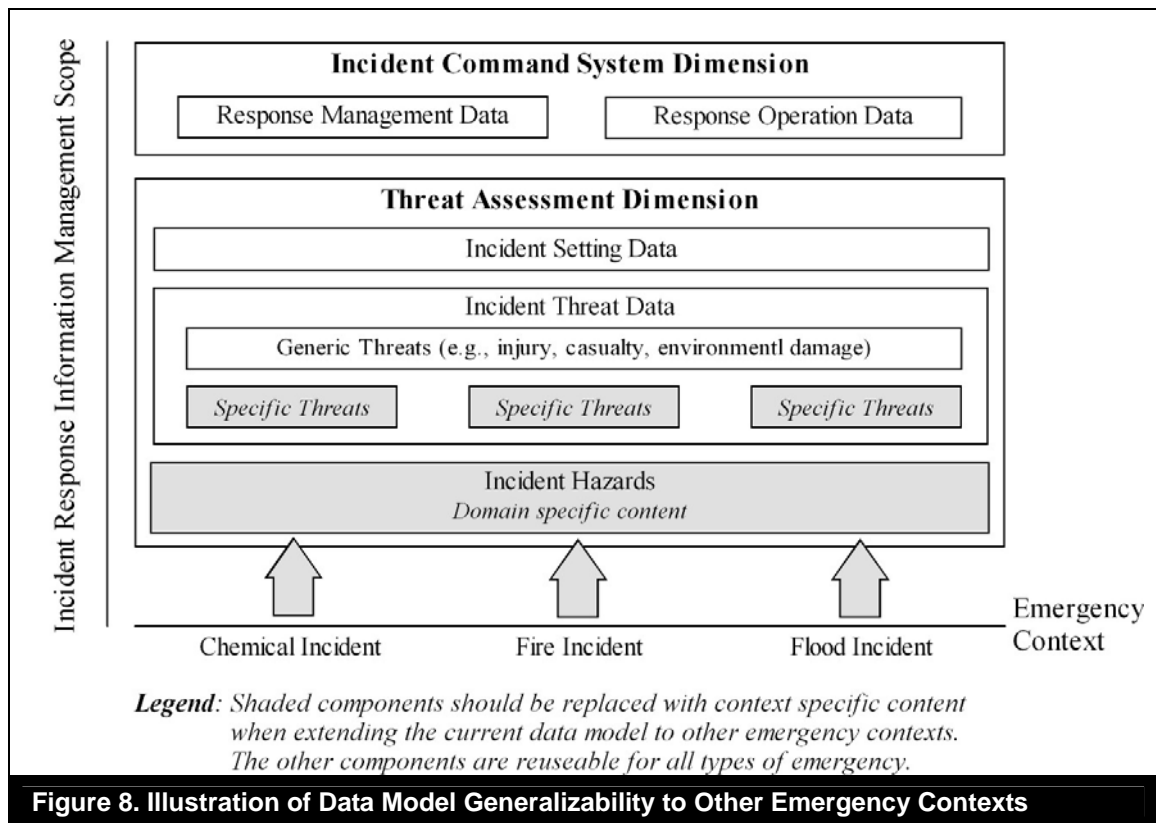
Figure 7b. Snapshot of Association Wizard Tool

The data model exemplifies several features. First, it contains reusable data components and scalable data structures. Second, with some modifications, the data model is generalizable to other incident types. As suggested by Table 6, information management for incident response consists of two major dimensions: Threat Assessment and Incident Command, each with sub-domain components. A large portion of the Threat Assessment data, including incident setting and generic threats such as injury, casualty, and environmental damages, form part of the core data elements common to other emergency contexts. This commonality is also true for all the elements in the Incident Command System dimension. Figure 8 illustrates the components of the data model that are

incident specific and those that are more generalizable to other contexts. Third, the data model is extremely usable. It not only supports the creation of standardized information exchange files as demonstrated in Section 5, it also allows agencies at the local, state, and federal levels to leverage their existing information systems to participate in a national information sharing environment with only a minimal cost to retrofit existing systems and databases (DHS et al. 2006). Since XML-specified data model design is platform independent, individual agencies can easily incorporate a translation mechanism between their heterogeneous databases (Allen et al. 2003; Johansson et al. 2003; March et al. 2003; March et al. 2000a; March et al. 1995; Rho et al. 1997; Rishe et al. 2000; Sarda 2007) and the messaging infrastructure to map incoming and outgoing messages in accordance to the data standards. This implementation model is flexible because it does not require agencies to alter either their legacy systems and databases or the way they currently do business, yet it opens up the possibilities for data exchange among other agencies (Breitbart et al. 1986; Collins et al. 2002; Hammer et al. 1993; Li et al. 2000; Lim et al. 2002; March et al. 1995; Rho et al. 2000; Wei et al. 2001).

The limitations of this study provide several directions for future extensions to this work. In the remainder of this section we elaborate on these extensions.

The data model currently focuses on and identifies the task-critical information that is essential for effective response during chemical incidents. Extending these key standards to include additional information assurance types in keeping with federal and local regulatory guidelines would make the model more comprehensive.



Despite the fact that responders nationwide utilize similar data sharing practices, the current version of the data model best serves the shared requirements of a portion of the country. The data model can be enhanced by integrating information sharing practices across the nation and making it a national standard.

Since the chemical response data model provides a systematic overview of the required key response information, future research may include the development of data models for other types of incidents such as fires, severe snowstorms, etc.

The current data model supports collaborative work but currently does not include direct computation of performance metrics to evaluate the efficiency of the response action. Determining appropriate metrics for performance evaluation is beyond the scope of the current study but is a future direction that would provide a valuable extension to the current work.

While the data model enhances the extent of data-level interoperability support, its potential contribution may be limited by the effectiveness of other dimensions of interoperability such as hardware, middleware, and application layer compatibilities. These represent enormous challenges and require nationwide collaboration across levels of government, public institutions, and the private sector, to coordinate and synergize the development and governance processes. An example of such collaboration is the RapidCom initiative, announced by President Bush on July 22 2004, which initiates, organizes, guides, and supports the national research efforts (e.g., FCC, Office for Interoperability and Compatibility, and Emergency Response Council) to design interoperable communication equipment, operation procedures, communication protocols, training and exercises, and governance structures. Future research also calls for investigation into these issues.

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Appendices

(Available at <http://www.som.buffalo.edu/isinterface/ra/appendix/appendix.htm>)

- A. Summary of National Efforts in Emergency Management Interoperability
- B. Full Version of Data Type Illustration
- C. Data Model Specification
- D. Data Dictionary XML Schema
- E. Enlarged Version of Figure 4

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